# Dynamic prediction modelling in hand disorders after stroke using a latent class multivariate mixed model 

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## Clinical Application

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## Clinical Application: Motivation

Data set collected in Amsterdam
$\rightarrow$ Patients followed after stroke

Outcome of interest:
The Action Research Arm Test (ARAT) is a measure used by physical therapists and other health care professionals to assess upper extremity performance

## Clinical Application: Data Details

Number of patients:
450
Gender:


Mean age at stroke:
65

Follow-up visits:


## Clinical Application: Data Details (cont'd)


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## Clinical Application: Data Details (cont'd)



## Clinical Application: Research Question

Guide clinical decision making $\rightarrow$ use complete biomarker information.

Can we utilize all available longitudinal measurements to predict the future ARAT measurements?

## GemsTracker



## Statistical Analysis

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## Statistical Analysis: Data Characteristics

Special feature should be taken into account in longitudinal data
$\rightarrow$ Correlation between measurements obtained from the same patients
$\rightarrow$ Biological variation of the outcome
$\rightarrow$ Unbalanced datasets

## Mixed-effects models

## Statistical Analysis: Mixed-effects models

Let $y_{i}$ represent the repeated measurements of an outcome for the $i$-th patient, $i=1, \ldots, n$

$$
\begin{gathered}
y_{i}(t)=x_{i}^{\top}(t) \beta+z_{i}^{\top}(t) b_{i}+\epsilon_{i}(t), \\
b_{i} \sim N(0, D) \\
\epsilon_{i}(t) \sim N\left(0, \sigma_{i}^{2}\right),
\end{gathered}
$$

where
$\diamond x_{i}^{\top}(t) \beta$ denotes the fixed part
$\diamond z_{i}^{\top}(t) b_{i}$ denotes the random part

## Statistical Analysis: Challenges

(1) Sub-populations
(2) Time-dependent covariates
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## Statistical Analysis: Sub-populations

Challenge (1)


## Statistical Analysis: Sub-populations

## Challenge (1)

Latent class models

$$
\begin{aligned}
& y_{i}\left(t \mid c_{i}=g\right)=x_{i}^{\top}(t) \beta_{g}+z_{i}^{\top}(t) b_{i g}+\epsilon_{i}(t) \\
& b_{i g} \sim N\left(0, D_{g}\right) \\
& \epsilon_{i}(t) \sim N\left(0, \sigma_{i}^{2}\right) \\
& \operatorname{Pr}\left(c_{i}=g\right) \sim \operatorname{Dirichlet}\left(A_{c}\right)
\end{aligned}
$$

where
$\diamond x_{i}^{\top}(t) \beta$ denotes the fixed part
$\diamond z_{i}^{\top}(t) b_{i}$ denotes the random part
$\diamond g$ indicates the class

## Statistical Analysis: Time-dependent

Challenge (2)

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## Statistical Analysis: Time-dependent (cont'd)

Challenge (2)

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## Statistical Analysis: Time-dependent (cont'd)

Challenge (2)

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## Statistical Analysis: Time-dependent (cont'd)

Univariate mixed model


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## Statistical Analysis: Time-dependent (cont'd)

Challenge (2)
Multivariate model ( $k$ longitudinal outcomes)

$$
\begin{gathered}
h_{k}\left[E\left\{y_{k i}\left(t \mid c_{i}=g\right) \mid b_{k i g}\right\}\right]=x_{k i}^{\top}(t) \beta_{k g}+z_{k i}^{\top}(t) b_{k i g}, \\
b_{i g}=\left(b_{i 1 g}^{\top}, \ldots, b_{i K g}^{\top}\right) \sim N\left(0, D_{g}\right),
\end{gathered}
$$

$\diamond x_{k i}^{\top}(t) \beta_{k g}$ denots the fixed part
$\diamond z_{k i}^{\top}(t) b_{k i g}$ denots the random part
$\diamond h_{k}($.$) denotes the link function and g$ indicates the class

## Statistical Analysis: Model Specification - ARAT

Bayesian framework
Fixed Effects
Nonlinear time in days (with 3 knots)
Shoulder abduction
Finger extension
Recombinant tissue plasminogen activator (medication)
Neglect (lack of awareness of the recovering side)

## Random Effects

Nonlinear time in days (with 3 knots)

Classes
Two

# Statistical Analysis: Model Specification - MIARM, 

 MILEG, FMARMBayesian framework
Fixed Effects
Nonlinear time in days (with 3 knots)

Random Effects
Nonlinear time in days (with 3 knots)

Classes
Two

## Statistical Analysis: Results

Check the fitting of the model

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Prediction
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## Prediction: ARAT data set

Predictions using the proposed latent class multivariate mixed model

Monte Carlo simulation scheme
$\diamond$ Draw parameters from the MCMC
$\diamond$ Draw $b_{i g}$ from the posterior
$\diamond$ Calculate predictions

## Prediction: Results



## Prediction: Performance

Assess the performance of the proposed model $\rightarrow$ Important
$\diamond$ Univariate mixed model (1 class)
$\diamond$ Multivariate mixed model (2 classes)

## Prediction: Performance (cont'd)






## Prediction: Performance (cont'd)

Assess the performance of the proposed model:
$\rightarrow$ Different methods and metrics exist (e.g. Mean absolute error)

## Prediction: Performance (cont'd)

$\rightarrow$ Proper scoring rules
$\diamond$ Compare the predictive distribution of the outcome with the observed value

Logarithmic scoring rule

$$
L R=\log \left[f_{y_{\text {pred }}}\left(y_{o b s}\right)\right]
$$

where $f_{y_{\text {pred }}}$ is the predictive density

## Prediction: Performance (cont'd)

$\rightarrow$ Proper scoring rules
$\diamond$ Compare the predictive distribution of the outcome with the observed value

## Continuous ranked probability score

$$
C R P S=\int\left[P_{y_{\text {pred }}}(x)-P_{y_{o b s}}(x)\right]^{2} d x
$$

where $P_{y_{\text {pred }}}$ and $P_{y_{\text {obs }}}$ are the cumulative disctribution function of the prediction and the observation respectively

## Prediction: Performance (cont'd)

$\rightarrow$ Cross-validation
$\diamond$ we split the data into 10 parts
$\diamond$ use 9 for fitting and 1 for predicting
predicting data: use 1 observation to predict the rest

## Prediction: Performance (cont'd)

Logarithmic scoring rule

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## Prediction: Performance (cont'd)

Continuous ranked probability score

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## Conclusion

Latent class multivariate mixed model

Future work
$\diamond$ More classes
$\diamond$ Extra outcomes
$\diamond$ Proper scoring rules
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## Thank you for your attention!

The slides are available at: https://www.erandrinopoulou.com

